

Agenda

Notice of a public meeting of Audit Committee

To: Councillors Cliff Lunn (Chair), Margaret Atkinson (Vice-

Chair), Karl Arthur, Robert Baker, Jim Clark, David Hugill,

Don MacKay, Geoff Webber, Mr Nick Grubb,

Mr David Marsh and Mr David Portlock.

Date: Monday, 14th December, 2020

Time: 1.30 pm

Venue: Remote meeting held via Microsoft Teams

Pursuant to The Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority Police and Crime Panel Meetings) (England and Wales) Regulations 2020, this meeting will be held using video conferencing with a live broadcast to the Council's YouTube site. Further information on this is available on the committee pages on the Council website - https://democracy.northyorks.gov.uk/

The meeting will be available to view once the meeting commences, via the following link - www.northyorks.gov.uk/livemeetings. Recording of previous live broadcast meetings are also available there.

Business

- 1. Apologies for Absence
- 2. Minutes of the Committee meeting held on 26th October 2020 (Pages 3 10)
- 3. Declarations of Interest

4. Public Questions or Statements

Members of the public may ask questions or make statements at this meeting if they have given notice (including the text of the question/statement) to Ruth Gladstone of Democratic Services (contact details at the foot of page 1) by midday on Wednesday 9th December 2020. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- At this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes).
- When the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

Enquiries relating to this agenda please contact Ruth Gladstone Tel: 01609 532555 or e-mail ruth.gladstone@northyorks.gov.uk

Website: www.northyorks.gov.uk

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

5.	Progress on Issues Raised by the Committee Joint report of the Corporate Director – Strategic Resources and the Executive (Legal and Democratic Services)	(Pages 11 - 14) e Assistant Chief
6.1	Health and Adult Services Directorate - Internal Audit Work Report of the Head of Internal Audit	(Pages 15 - 24)
6.2	Health and Adult Services Directorate - Internal Control Matters Report of the Corporate Director – Health and Adult Services	(Pages 25 - 54)
7.	Progress on 2020/21 Internal Audit Plan Report of the Head of Internal Audit	(Pages 55 - 60)
8.	Procurement and Contract Management Update Report of the Corporate Director – Strategic Resources	(Pages 61 - 72)
9.	Risk Management - Progress Report	(Pages 73 -
	Report of the Corporate Director – Strategic Resources	102)
10.	Annual Report on Partnership Governance 2019/20	(Pages 103 -
	Report of the Assistant Director Policy, Partnerships and Communities	120)
11.	CIPFA Financial Management Code	(Pages 121 -
	Report of the Corporate Director – Strategic Resources	132)
12.	Audit Committee Programme of Work	(Pages 133 -
13.	Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances	134)

Barry Khan Assistant Chief Executive (Legal and Democratic Services)

County Hall Northallerton

Friday, 4 December 2020